

Compiler I

(dt. Übersetzer I)

Prof. Dr. Uwe Kastens

Winter 2001/2002

In the lecture:

Welcome to the lecture!

Objectives

The participants are taught to

- understand **fundamental techniques** of language implementation,
- use **generating tools and standard solutions**,
- understand compiler construction as a systematic combination of **algorithms, theories** and **software engineering** methods for the solution of a **precisely specified task**,
- apply compiler techniques for languages **other than programming languages**.

Forms of teaching:

Lectures

Tutorials

Homeworks

Exercises

Running project

Objectives:

Understand the objectives of the course.

In the lecture:

The objectives are explained.

Questions:

- What are your objectives?
- Do they match with these?
- When did you last listen to a talk given in English?

Lectures in English

CI-3

Some agreements about giving lectures in English:

- I'll speak English unless someone asks me to explain something in German.
- Stop me or slow me down whenever you get lost.
- I don't speak as well as a native speaker; but I'll do my best ...
- You may ask questions and give answers in English or in German.
- I'll prepare the slides in English. A German version is available.
- You'll have to learn to speak about the material in at least one of the two languages.
- You may vote which language to be used in the tutorials.
- You may chose German or English for the oral exam.

Lecture Compiler I WS 2001/2002 / Slide 03

Objectives:

Clarification about the use of the English language in this course

In the lecture:

The topics on the slide are discussed.

Syllabus

CI-4

Week	Chapter	Topic
1	Introduction	Compiler tasks
2		Compiler structure
3	Lexical analysis	Scanning, token representation
4	Syntactic analysis	Recursive decent parsing
5		LR Parsing
6		Parser generators
7		Grammar design
8	Semantic analysis	Attribute grammars
9		Attribute grammar specifications
10		Name analysis
11		Type analysis
12	Transformation	Intermediate language, target trees
13		Target texts
14	Synthesis	Overview
15	Summary	

Lecture Compiler I WS 2001/2002 / Slide 04

Objectives:

Overview over the topics of the course

In the lecture:

Comments on the topics.

Prerequisites

CI-5

from Lecture	Topic	here needed for
Foundations of Programming Languages:		
	4 levels of language properties	Compiler tasks, compiler structure
	Context-free grammars	Syntactic analysis
	Scope rules	Name analysis
	Data types	Type analysis
	Lifetime, runtime stack	Storage model, code generation
Modeling:		
	Finite automata	Lexical analysis
	Context-free grammars	Syntactic analysis

Lecture Compiler I WS 2001/2002 / Slide 05

Objectives:

Identify concrete topics of other courses

In the lecture:

Point to material to be used for repetition

Suggested reading:

Course material for *Foundations of Programming Languages*

Course material for *Modeling*

Questions:

- Do you have the prerequisites?
- Are you going to learn or to repeat that material?

References

CI-6

Material for this course **Compiler I:** <http://www.uni-paderborn.de/cs/ag-kastens/compi>
in German **Übersetzer I** (1999/2000): <http://www.uni-paderborn.de/cs/ag-kastens/uebi>
in English **Compiler II:** <http://www.uni-paderborn.de/cs/ag-kastens/uebii>

Modellierung: <http://www.uni-paderborn.de/cs/ag-kastens/model>
Grundlagen der Programmiersprachen: <http://www.uni-paderborn.de/cs/ag-kastens/gdp>

U. Kastens: **Übersetzerbau**, Handbuch der Informatik 3.3, Oldenbourg, 1990
(not available on the market anymore, available in the library of the University)

W. M. Waite, L. R. Carter: **An Introduction to Compiler Construction**,
Harper Collins, New York, 1993

W. M. Waite, G. Goos: **Compiler Construction**, Springer-Verlag, 1983

R. Wilhelm, D. Maurer: **Übersetzerbau - Theorie, Konstruktion, Generierung**,
Springer-Verlag, 1992

A. Aho, R. Sethi, J. D. Ullman: **Compilers - Principles, Techniques and Tools**,
Addison-Wesley, 1986

A. W. Appel: **Modern Compiler Implementation in C**, Cambridge University Press, 1997
(available for Java and for ML, too)

Lecture Compiler I WS 2001/2002 / Slide 06

Objectives:

Useful references for the course

In the lecture:

Comments of the course material and books

- The material for this course is being translated from the material of "Übersetzer I (WS 1999/2000)" while the course is given
- The course "Compiler II" will follow next semester.

Questions:

- Find the material in the Web, get used to its structure, place suitable bookmarks.

Course material in the Web

CI-7

Lecture
Compiler I WS 2001/2002
Prof. Dr. Uwe Kastens

[other lectures](#)

Slides	Organization	Supplements
<ul style="list-style-type: none"> forward / backward Contents Printing 	<ul style="list-style-type: none"> general actual information <p>18.09.2001 First lecture: Monday, Oct 15</p> <p>18.09.2001 Room change: D1.338</p>	<ul style="list-style-type: none"> Objectives Site map Literature Contents Kastens: Übersetzerbau Internet Material in German

Exercises

- [forward / backward](#)
- [Overview](#)
- [Printing](#)

Usage

We recommend to use the full screen size for the browser window. You may even hide the directory buttons to minimize the need for scrolling.

This material is maintained by CAMELOT.

© 2001 bei Prof. Dr. Uwe Kastens

Lecture Compiler I WS 2001/2002 / Slide 07

Objectives:

The root page of the course material.

In the lecture:

The navigation structure is explained.

Assignments:

Explore the course material.

Commented slide in the course material

CI-7a

Compilation and interpretation of Java programs

Source modules

Java Compiler

Class files in Java Bytecode (intermediate language)

load needed class files dynamically - local or via Internet

Class loader

Bytecode processor in software

Interpreter Java Virtual Machine JVM

Just-In-Time Compiler (JIT)

Machine code

Input

Output

© 2001 bei Prof. Dr. Uwe Kastens

© 2001 bei Prof. Dr. Uwe Kastens

Lecture Compiler I WS 2001/2002 / Slide 07a

Objectives:

A slide of the course material.

In the lecture:

The comments are explained.

Assignments:

Explore the course material.

What does a compiler compile?

CI-8

A **compiler** transforms correct sentences of its **source language** into sentences of its **target language** such that their **meaning is unchanged**.

Examples:

Source language:	Target language:
Programming language C++	Machine language Sparc code
Programming language Java	Abstract machine Java Bytecode
Programming language C++	Programming language (source-to-source) C
Application language LaTeX Data base language (SQL)	Application language HTML Data base system calls

Lecture Compiler I WS 2001/2002 / Slide 08

Objectives:

Variety of compiler applications

In the lecture:

Explain examples for pairs of source and target languages.

Suggested reading:

Kastens / Übersetzerbau, Section 1.

Assignments:

- Find more examples for application languages.
- [Exercise 3](#) Recognize patterns in the target programs compiled from simple source programs.

Questions:

What are reasons to compile into other than machine languages?

What is compiled here?

CI-9

<pre>class Average { private: int sum, count; public: Average (void) { sum = 0; count = 0; } void Enter (int val) { sum = sum + val; count++; } float GetAverage (void) { return sum / count; } }; ----- _Enter__7Average1: pushl %ebp movl %esp,%ebp movl 8(%ebp),%edx movl 12(%ebp),%eax addl %eax,(%edx) incl 4(%edx) L6: movl %ebp,%esp popl %ebp ret</pre>	<pre>class Average { private int sum, count; public Average () { sum = 0; count = 0; } void Enter (int val) { sum = sum + val; count++; } float GetAverage () { return sum / count; } }; ----- 1: Enter: (int) --> void Access: [] Attribute ,Code` (Length 49) Code: 21 Bytes Stackdepth: 3 Locals: 2 0: aload_0 1: aload_0 2: getfield cp4 5: iload_1 6: iadd 7: putfield cp4 10: aload_0 11: dup 12: getfield cp3 15: iconst_1 16: iadd</pre>
---	--

Lecture Compiler I WS 2001/2002 / Slide 09

Objectives:

Recognize examples for compilations

In the lecture:

Answer the questions below.

Questions:

- Which source and target language are shown here?
- How did you recognize them?

What is compiled here?

CI-10

```

program Average;
  var sum, count: integer;
      aver: integer;
  procedure Enter (val: integer);
    begin sum := sum + val;
          count := count + 1;
    end;
begin
  sum := 0; count := 0;
  Enter (5); Enter (7);
  aver := sum div count;
end.
-----
void ENTER_5 (char *slnk , int VAL_4)
{
  /* data definitions: */
  /* executable code: */
  {
    SUM_1 = (SUM_1)+(VAL_4);
    COUNT_2 = (COUNT_2)+(1);
    ;
  }
} /* ENTER_5 */

```

```

\documentstyle[12pt]{article}
\begin{document}
\section{Introduction}
This is a very short document.
It just shows
\begin{itemize}
\item an item, and
\item another item.
\end{itemize}
\end{document}

-----

%%Page: 1 1
1 0 bop 164 315 a Fc(1)81
b(In)n(tro)r(duction)
164 425 y Fb(This)16
b(is)g(a)h(v)o(ery)e(short)
i(do)q(cumen)o(t.)j(It)c(just)g
(sho)o(ws)237 527 y Fa(\017)24 b
Fb(an)17 b(item,)
c(and)237 628 y Fa(\017)24 b
Fb(another)17 b(item.)
961 2607 y(1)p
eop

```

Lecture Compiler I WS 2001/2002 / Slide 10

Objectives:

Recognize examples for compilations

In the lecture:

Answer the questions below.

Questions:

- Which source and target language are shown here?
- How did you recognize them?

Languages for specification and modeling

CI-11

SDL (CCITT)

Specification and Description Language:

UML

Unified Modeling Language:

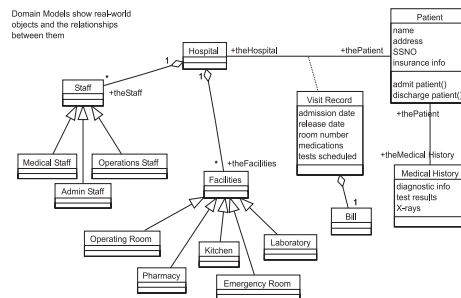
```

block Dialogue;
  signal
    Money, Release, Change, Accept, Avail, Unavail, Price,
    Showtxt, Choice, Done, Flushed, Close, Filled;
  process Coins referenced;
  process Control referenced;
  process Viewpoint referenced;
  signalroute Plop
  from env to Coins
    with Coin_10, Coin_50, Coin_100, Coin_x;
  signalroute Pong
  from Coins to env
    with Coin_10, Coin_50, Coin_100, Coin_x;
  signalroute Cash
  from Coins to Control
    with Money, Avail, Unavail, Flushed, Filled;
  from Control to Coins
    with Accept, Release, Change, Close;

  ...

connect Pay and Plop;
connect Flush and Pong;
endblock Dialogue;

```



Lecture Compiler I WS 2001/2002 / Slide 11

Objectives:

Be aware of specification languages

In the lecture:

Comments on SDL and UML

Suggested reading:

Text

Questions:

What kind of tools are needed for such specification languages?

Domain Specific Languages (DSL)

CI-12

A language designed for a **specific application domain**.

Application Generator: Implementation of a DSL by a **program generator**

Examples:

- **Simulation of mechatronic feedback systems**
- **Robot control**
- **Collecting data from instruments**
- **Testing car instruments**
- **Report generator for bibliographies:**

```
string name =   InString "Which author?";
int since =     InInt  "Since which year?";
int cnt = 0;

"\nPapers of ", name, " since ", since, ":\n";
[ SELECT name <= Author && since <= Year;
  cnt = cnt + 1;
  Year, "\t", Title, "\n";
]
"\n", name, " published ", cnt, "papers.\n";
```

U. Kastens: Construction of
Application Generators
Using Eli,
Workshop on Compiler
Techniques for Application
Domain Languages ...,
Linköping, April 1996

© 2001 bei Prof. Dr. Uwe Kastens

Lecture Compiler I WS 2001/2002 / Slide 12

Objectives:

Understand DSL by examples

In the lecture:

Explain the examples

Suggested reading:

- C.W. Krueger: Software Reuse, ACM Computing Surveys 24, June 1992
- Conference on DSL (USENIX), Santa Babara, Oct. 1997
- ACM SIGPLAN Workshop on DSL (POPL), Paris, Jan 1997

Questions:

Give examples for tools that can be used for such languages.

Programming languages as source or target languages

CI-13

Programming languages as source languages:

- **Program analysis**
call graphs, control-flow graph, data dependencies, e. g. for the year 2000 problem
- **Recognition of structures and patterns**
e. g. for Reengineering

Program languages as target languages:

- **Specifications (SDL, OMT, UML)**
- **graphic modeling of structures**
- **DSL, Application generator**

=> Compiler task: Source-to-source compilation

© 2001 bei Prof. Dr. Uwe Kastens

Lecture Compiler I WS 2001/2002 / Slide 13

Objectives:

Understand programming languages in different roles

In the lecture:

- Comments on the examples
- Role of program analysis in software engineering
- Role of Source-to-source compilation in software engineering

Questions:

Give examples for the use of program analysis in software engineering.

Semester project as running example

CI-14

A Structure Generator

We are going to develop a tool that implements **record structures**. In particular, the structure generator takes a set of **record descriptions**. Each specifies a **set of named and typed fields**. For each record a **Java class** declaration is to be generated. It contains a constructor method and access methods for the specified record fields.

The tool will be used in an environment where field description are created by other tools, which for example analyze texts for the occurrence of certain phrases. Hence, the descriptions of fields may occur in arbitrary order, and the same field may be described more than once. The structure generator **accumulates the field descriptions** such that for each record a single class declaration is generated which has all fields of that record.

Design a **domain specific language**.

Implement an **application generator** for it.

Apply all **techniques of the course** that are useful for the task.

© 2001 bei Prof. Dr. Uwe Kastens

Lecture Compiler I WS 2001/2002 / Slide 14

Objectives:

Get an idea of the task

In the lecture:

- Comment the task description.
- Explain the role of the running example.

Assignments:

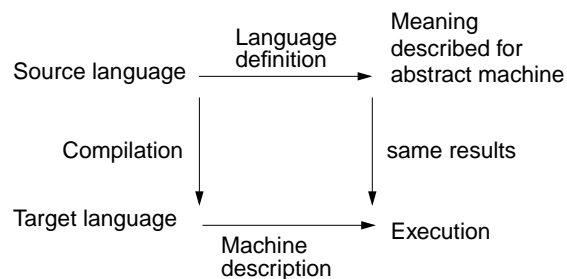
In the tutorial

- Discuss the task description.
- Explain the purpose of such a generator.
- Give examples for its input and output.
- What are the consequences of the second paragraph of the task description?
- Discuss variants of the input.

Meaning preserving transformation

CI-15

A **compiler** transforms correct sentences of its **source language** into sentences of its **target language** such that their **meaning is unchanged**.



A **meaning** is defined only for **correct** programs. Compiler task: Error handling

The compiler analyses **static** properties of the program at **compile time**,
e. g. definitions of Variables, types of expressions. Decides: Is the program **compilable**?

Dynamic properties of the program are checked at **runtime**,
e. g. indexing of arrays. Decides: Is the program **executable**?

But in Java: Compilation of bytecode at runtime, just in time compilation (JIT)

© 2001 bei Prof. Dr. Uwe Kastens

Lecture Compiler I WS 2001/2002 / Slide 15

Objectives:

Understand fundamental notions of compilation

In the lecture:

The topics on the slide are explained. Examples are given.

- Explain the role of the arcs in the commuting diagram.
- Distinguish compile time and run-time concepts.
- Discuss examples.

Example: Tokens and structure

Character sequence

```
int count = 0; double sum = 0.0; while (count<maxVect) { sum = sum+vect[count]; count++;}
```

Tokens

```
int count = 0; double sum = 0.0; while (count<maxVect) { sum = sum+vect[count]; count++;}
```

Expressions

Declarations

Statements

Structure

Lecture Compiler I WS 2001/2002 / Slide 16

Objectives:

Get an idea of the structuring task

In the lecture:

Some requirements for recognizing tokens and deriving the program structure are discussed along the example:

- kinds of tokens,
- characters between tokens,
- nested structure

Questions:

Where do you find the exact requirements for the structuring tasks?

Example: Names, types, generated code

Tokens

```
int count = 0; double sum = 0.0; while (count<maxVect) { sum = sum+vect[count]; count++;}
```

int

double

int

int

boolean

k1: (count, local variable, int)
k2: (sum, local variable, double)

k3: (maxVect, member variable, int)
k4: (vect, member variable, double array)

...

Names and types

generated Bytecode

```
0 iconst_0
1 istore_1
2 dconst_0
3 dstore_2
4 goto 19
7 dload_2
8 getstatic #5 <vect[]>
11 iload_1
12 faload
13 f2d
14 dadd
15 dstore_2
16 iinc 1 1
19 iload_1
20 getstatic #4 <maxVect>
23 if_icmplt 7
```

Lecture Compiler I WS 2001/2002 / Slide 17

Objectives:

Get an idea of the name analysis and transformation task

In the lecture:

Some requirements for these tasks are discussed along the example:

- program objects and their properties,
- program constructs and their types
- target program

Questions:

- Why is the name (e.g. count) a property of a program object (e.g. k1)?
- Can you impose some structure on the target code?

Language definition - Compiler task

CI-18

- | | |
|---|---|
| <ul style="list-style-type: none"> • Notation of tokens
keywords, identifiers, literals
formal definition: regular expressions | lexical analysis |
| <ul style="list-style-type: none"> • Syntactic structure
formal definition: context-free grammar | syntactic analysis |
| <ul style="list-style-type: none"> • Static semantics
binding names to program objects, typing rules
usually defined by informal texts | semantic analysis, transformation |
| <ul style="list-style-type: none"> • Dynamic semantics
semantics, effect of the execution of constructs
usually defined by informal texts
in terms of an abstract machine | transformation, code generation |
| <ul style="list-style-type: none"> • Definition of the target language (machine) | transformation, code generation
assembly |

© 2001 bei Prof. Dr. Uwe Kastens

Lecture Compiler I WS 2001/2002 / Slide 18

Objectives:

Relate language properties to levels of definitions

In the lecture:

- These are prerequisites of the course "Grundlagen der Programmiersprachen" (see course material GdP-13, GdP13a).
- Discuss the examples of the preceding slides under these categories.

Suggested reading:

Kastens / Übersetzerbau, Section 1.2

Assignments:

- Exercise 1 Let the compiler produce error messages for each level.
- Exercise 2 Relate concrete language properties to these levels.

Questions:

Some language properties can be defined on different levels. Discuss the following for hypothetical languages:

- "Parameters may not be of array type." Syntax or static semantics?
- "The index range of an array may not be empty." Static or dynamic semantics?

Compiler tasks

CI-19

Structuring	Lexical analysis	Scanning Conversion
	Syntactic analysis	Parsing Tree construction
Translation	Semantic analysis	Name analysis Type analysis
	Transformation	Data mapping Action mapping
Encoding	Code generation	Execution-order Register allocation Instruction selection
	Assembly	Instruction encoding Internal Addressing External Addressing

© 2001 bei Prof. Dr. Uwe Kastens

Lecture Compiler I WS 2001/2002 / Slide 19

Objectives:

Task decomposition leads to compiler structure

In the lecture:

- Explain tasks of the rightmost column.
- Relate the tasks to chapters of the course.

Suggested reading:

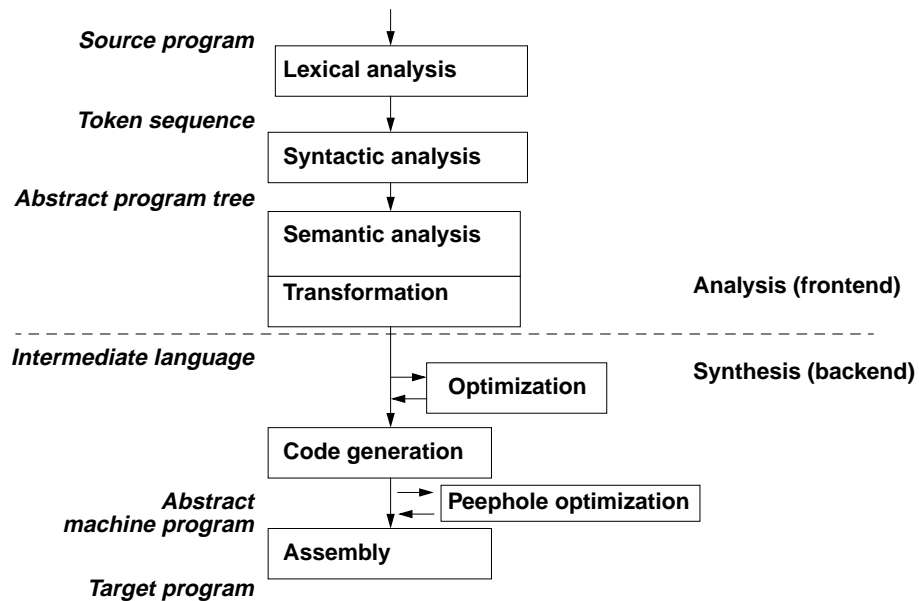
Kastens / Übersetzerbau, Section 2.1

Assignments:

Learn the German translations of the technical terms.

Compiler structure and interfaces

CI-20



© 2001 bei Prof. Dr. Uwe Kastens

Objectives:

Derive compiler modules from tasks

In the lecture:

In this course we focus on the analysis phase (frontend).

Suggested reading:

Kastens / Übersetzerbau, Section 2.1

Assignments:

Compare this slide with [U-08](#) and learn the translations of the technical terms used here.

Questions:

Use this information to explain the example on slide [CI-16](#)

Software qualities of the compiler

CI-21

- **Correctness** Translate correct programs correctly.
Reject wrong programs and give error messages
- **Efficiency** Storage and time used by the compiler
- **Code efficiency** Storage and time used by the generated code
Compiler task: Optimization
- **User support** Compiler task: Error handling
(recognition, message, recovery)
- **Robustness** Give a reasonable reaction on every input

© 2001 bei Prof. Dr. Uwe Kastens

Objectives:

Consider compiler as a software product

In the lecture:

Give examples for the qualities.

Questions:

Explain: For a compiler the requirements are specified much more precisely than for other software products.

Strategies for compiler construction

CI-22

- Obey exactly to the language definition
- Use generating tools
- Use standard components
- Apply standard methods
- Validate the compiler against a test suite
- Verify components of the compiler

Lecture Compiler I WS 2001/2002 / Slide 22

Objectives:

Apply software methods for compiler construction

In the lecture:

It is explained that effective construction methods exists especially for compilers.

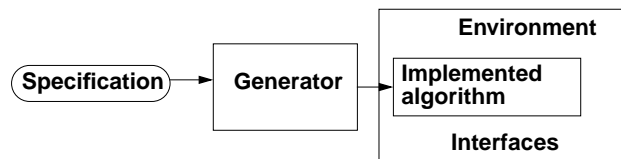
Questions:

What do the specifications of the compiler tasks contribute to more systematic compiler construction?

Generators

CI-23

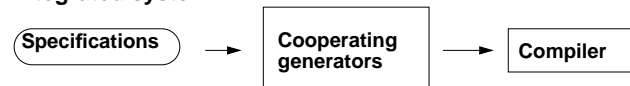
Pattern:



Typical compiler tasks solved by generators:

Regular expressions	Scanner generator	Finite automaton
Context-free grammar	Parser generator	Stack automaton
Attribute grammar	Attribute evaluator generator	Tree walking algorithm
Code patterns	Code selection generator	Pattern matching

integrated system Eli:



Lecture Compiler I WS 2001/2002 / Slide 23

Objectives:

Usage of generators in compiler construction

In the lecture:

The topics on the slide are explained. Examples are given.

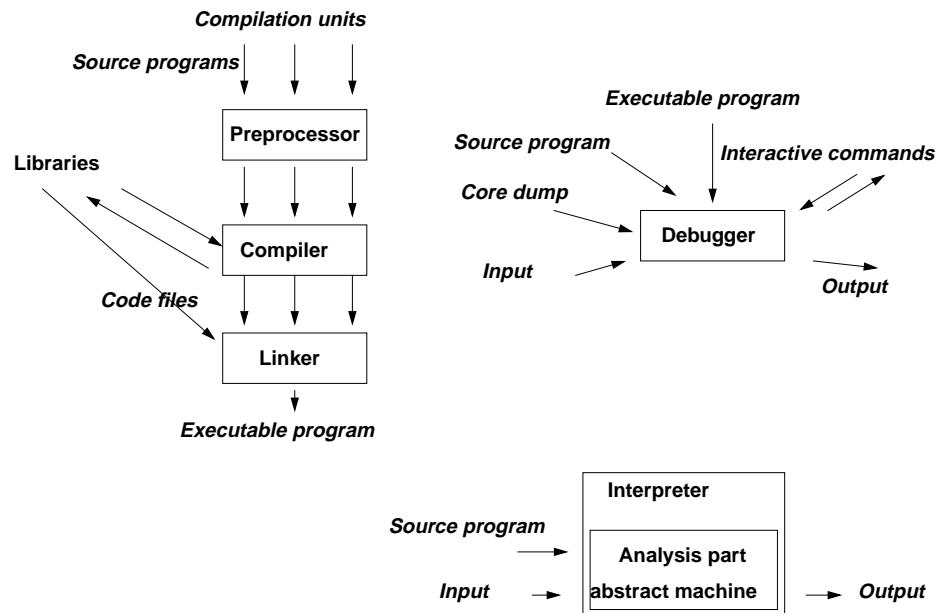
Suggested reading:

Kastens / Übersetzerbau, Section 2.5

Assignments:

- Exercise 5: Find as many generators as possible in the Eli system.

Environment of compilers



Objectives:

Understand the cooperation between compilers and other language tools

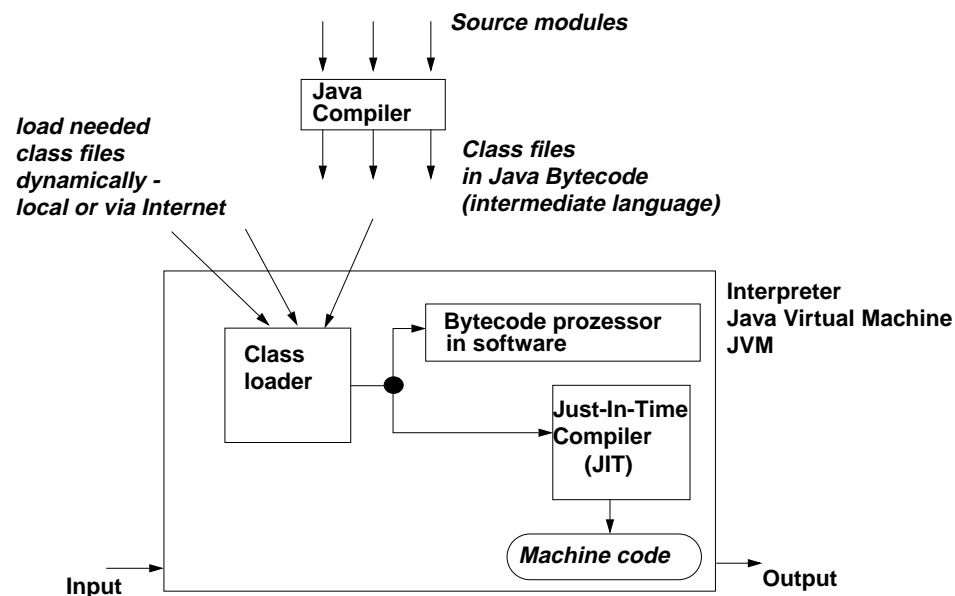
In the lecture:

- Explain the roles of language tools
- Explain the flow of information

Suggested reading:

Kastens / Übersetzerbau, Section 2.4

Compilation and interpretation of Java programs



Objectives:

Special situation for Java

In the lecture:

Explain the role of the abstract machine JVM:

- Interpretation of bytecode.
- Compile and optimize while executing the program.
- Load class files while executing the program.

Questions:

- explain why the JVM can not rely on the type checks made by the compiler.