

## Data-Flow Analysis

Data-flow analysis (DFA) provides information about how the **execution of a program may manipulate its data**.

Many different problems can be formulated as **data-flow problems**, for example:

- Which assignments to variable  $v$  may influence a use of  $v$  at a certain program position?
- Is a variable  $v$  used on any path from a program position  $p$  to the exit node?
- The values of which expressions are available at program position  $p$ ?

Data-flow problems are stated in terms of

- **paths through the control-flow graph** and
- **properties of basic blocks**.

Data-flow analysis provides information for **global optimization**.

**Data-flow analysis does not know**

- which input values are provided at run-time,
- which branches are taken at run-time.

Its results are to be interpreted **pessimistic**

## Data-Flow Equations

A data-flow problem is stated as a **system of equations** for a control-flow graph.

System of Equations for **forward problems** (propagate information along control-flow edges):

Example **Reaching definitions**:

A definition  $d$  of a variable  $v$  reaches the begin of a block  $B$  if **there is a path** from  $d$  to  $B$  on which  $v$  is not assigned again.

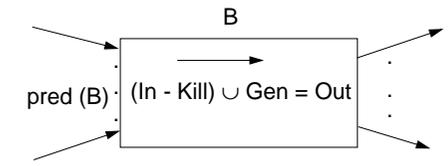
**In, Out, Gen, Kill** represent **analysis information**:

sets of statements,  
sets of variables,  
sets of expressions  
depending on the analysis problem

**2 equations for each basic block:**

$$\begin{aligned} \text{Out}(B) &= f_B(\text{In}(B)) \\ &= \text{Gen}(B) \cup (\text{In}(B) - \text{Kill}(B)) \end{aligned}$$

$$\text{In}(B) = \bigcap_{h \in \text{pred}(B)} \text{Out}(h)$$



$\text{In}, \text{Out}$  **variables** of the system of equations for each block

$\text{Gen}, \text{Kill}$  a pair of **constant sets** that characterize a block w.r.t. the DFA problem

$\Theta$  meet operator; e. g.  $\Theta = \cup$  for „reaching definitions“,  $\Theta = \cap$  for „available expressions“

## Specification of a DFA Problem

Specification of reaching definitions:

### 1. Description:

A definition  $d$  of a variable  $v$  reaches the begin of a block  $B$  if **there is a path** from  $d$  to  $B$  on which  $v$  is not assigned again.

### 2. It is a **forward problem**.

### 3. The **meet operator** is union.

### 4. The **analysis information** in the sets are assignments at certain program positions.

### 5. **Gen(B)**:

contains all definitions  $d: v = e$ ; in  $B$ , such that  $v$  is not defined after  $d$  in  $B$ .

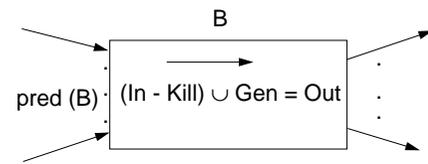
### 6. **Kill(B)**:

if  $v$  is assigned in  $B$ , then **Kill(B)** contains all definitions  $d: v = e$ ; of blocks different from  $B$ .

**2 equations for each basic block:**

$$\begin{aligned} \text{Out}(B) &= f_B(\text{In}(B)) \\ &= \text{Gen}(B) \cup (\text{In}(B) - \text{Kill}(B)) \end{aligned}$$

$$\text{In}(B) = \bigcap_{h \in \text{pred}(B)} \text{Out}(h)$$



## Variants of DFA Problems

### • **forward** problem:

DFA information flows **along the control flow**

$\text{In}(B)$  is determined by  $\text{Out}(h)$  of the predecessor blocks

### **backward** problem (see C-2.23):

DFA information flows **against the control flow**

$\text{Out}(B)$  is determined by  $\text{In}(h)$  of the successor blocks

### • **union** problem:

problem description: „there is a path“;

meet operator is  $\Theta = \cup$

solution: minimal sets that solve the equations

### **intersect** problem:

problem description: „for all paths“

meet operator is  $\Theta = \cap$

solution: maximal sets that solve the equations

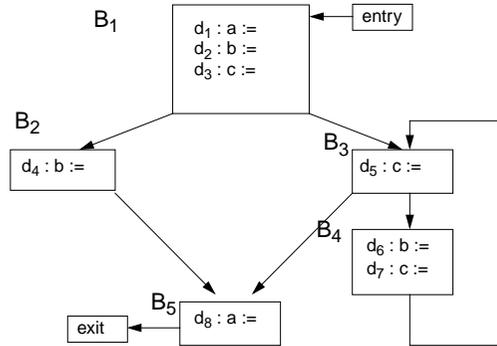
### • **optimization information**: sets of certain statements, of variables, of expressions.

Further classes of DFA problems over general lattices instead of sets are not considered here.

### Example Reaching Definitions

**Gen (B):**  
contains all definitions  $d: v = e;$  in  $B$ , such that  $v$  is not defined after  $d$  in  $B$ .

**Kill (B):**  
contains all definitions  $d: v = e;$  in blocks different from  $B$ , such that  $B$  has a definition of  $v$ .



Description of DFA-Problem		
	Gen	Kill
<b>B1</b>	d1, d2, d3	d4, d5, d6, d7, d8
<b>B2</b>	d4	d2, d6
<b>B3</b>	d5	d3, d7
<b>B4</b>	d6, d7	d2, d3, d4, d5
<b>B5</b>	d8	d1

DFA-Solution		
	In	Out
	$\emptyset$	d1, d2, d3
<b>B2</b>	d1, d2, d3	d1, d3, d4
<b>B3</b>	d1, d2, d3, d6, d7	d1, d2, d5, d6
<b>B4</b>	d1, d2, d5, d6	d1, d6, d7
<b>B5</b>	d1, d2, d3, d4, d5, d6	d2, d3, d4, d5, d6, d8

### Iterative Solution of Data-Flow Equations

Input: the CFG; the sets Gen(B) and Kill(B) for each basic block B  
Output: the sets In(B) and Out(B)

**Algorithm:**

```

repeat
  stable := true;
  for all B ≠ entry { * }
  do begin
    for all V ∈ pred(B) do
      In(B) := In(B) ∩ Out(V);
    oldout := Out(B);
    Out(B) := Gen(B) ∪ (In(B) - Kill(B));
    stable := stable and Out(B) = oldout;
  end
until stable
            
```

**Initialization**

**Union:** empty sets

```

for all B do
  begin
    In(B) := ∅;
    Out(B) := Gen(B);
  end;
            
```

**Intersect:** full sets

```

for all B do
  begin
    In(B) := U;
    Out(B) :=
      Gen(B) ∪
      (U - Kill(B));
  end;
            
```

Complexity:  $O(n^3)$  with n number of basic blocks  
 $O(n^2)$  if  $|\text{pred}(B)| \leq k \ll n$  for all B

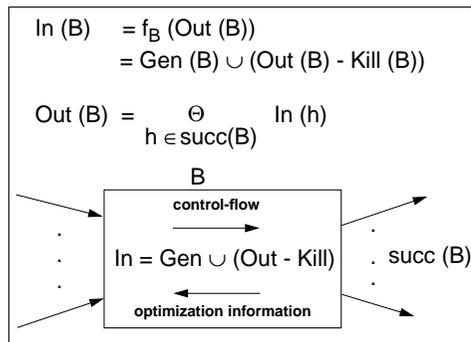
### Backward Problems

System of Equations for **backward problems** propagate information against control-flow edges:

2 equations for each basic block:

Example **Live variables**:

1. Description: Is variable  $v$  alive at a given point  $p$  in the program, i. e. **is there a path** from  $p$  to the exit where  $v$  is used but not defined before the use?
2. backward problem
3. optimization information: sets of variables
4. meet operator:  $\Theta = \cup$  union
5. Gen (B): variables that are used in B, but not defined before they are used there.
6. Kill (B): variables that are defined in B, but not used before they are defined there.



### Important Data-Flow Problems

1. **Reaching definitions:** A definition  $d$  of a variable  $v$  reaches the beginning of a block  $B$  if there is a path from  $d$  to  $B$  on which  $v$  is not assigned again.  
**DFA variant:** forward; union; set of assignments  
**Transformations:** use-def-chains, constant propagation, loop invariant computations
2. **Live variables:** Is variable  $v$  alive at a given point  $p$  in the program, i. e. there is a path from  $p$  to the exit where  $v$  is used but not defined before the use.  
**DFA variant:** backward; union; set of variables  
**Transformations:** eliminate redundant assignments
3. **Available expressions:** Is expression  $e$  computed on every path from the entry to a program position  $p$  and none of its variables is defined after the last computation before  $p$ .  
**DFA variant:** forward; intersect; set of expressions  
**Transformations:** eliminate redundant computations
4. **Copy propagation:** Is a copy assignment  $c: x = y$  redundant, i.e. on every path from  $c$  to a use of  $x$  there is no assignment to  $y$ ?  
**DFA variant:** forward; intersect; set of copy assignments  
**Transformations:** remove copy assignments and rename use
5. **Constant propagation:** Has variable  $x$  at position  $p$  a known value, i.e. on every path from the entry to  $p$  the last definition of  $x$  is an assignment of the same known value.  
**DFA variant:** forward; combine function; vector of values  
**Transformations:** substitution of variable uses by constants

## Algebraic Foundation of DFA

DFA performs computations on a **lattice (dt. Verband)** of values, e. g. bit-vectors representing finite sets. It guarantees termination of computation and well-defined solutions. see [Muchnick, pp 223-228]

A **lattice L** is a set of values with two operations:  $\cap$  **meet** and  $\cup$  **join**

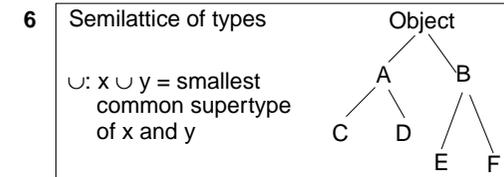
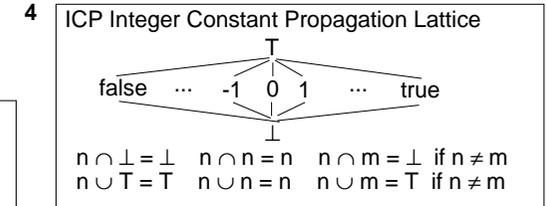
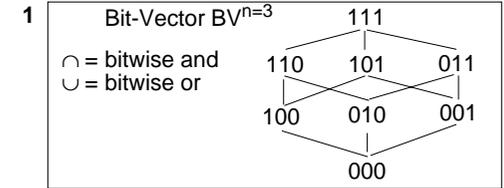
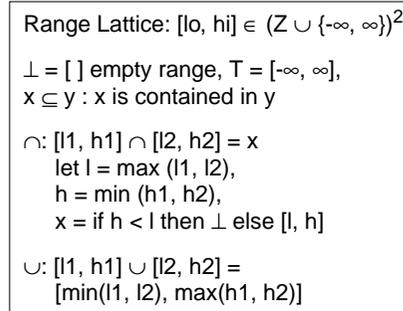
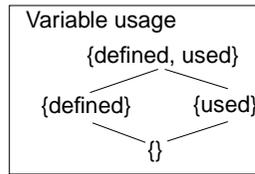
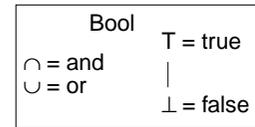
Required properties:

1. **closure:**  $x, y \in L$  implies  $x \cap y \in L, x \cup y \in L$
2. **commutativity:**  $x \cap y = y \cap x$  and  $x \cup y = y \cup x$
3. **associativity:**  $(x \cap y) \cap z = x \cap (y \cap z)$  and  $(x \cup y) \cup z = x \cup (y \cup z)$
4. **absorption:**  $x \cap (x \cup y) = x = x \cup (x \cap y)$
5. unique elements **bottom**  $\perp$ , **top**  $T$ :  
 $x \cap \perp = \perp$  and  $x \cup T = T$

In most DFA problems only a **semilattice** is used with  $L, \cap, \perp$  or  $L, \cup, T$

**Partial order** defined by meet, defined by join:  
 $x \subseteq y: x \cap y = x$        $x \supseteq y: x \cup y = x$   
 (transitive, antisymmetric, reflexive)

## Some DFA Lattices



## Variants of DFA Algorithms

### Heuristic improvement:

Goal: propagate changes in the In and Out sets as fast as possible.  
 Technique: visit CFG nodes in topological order in the outer for-loop {\*}.  
 Then the number of iterations of the outer repeat-loop is only determined by back edges in the CFG

### Algorithm for backward problems:

Exchange In and Out sets symmetrically in the algorithm of C-2.22b.  
 The nodes should be visited in topological order as if the directions of edges were flipped.

### Hierarchical algorithms, interval analysis:

Regions of the CFG are considered nodes of a CFG on a higher level.  
 That abstraction is recursively applied until a single root node is reached.  
 The Gen, Kill sets are combined in upward direction;  
 the In, Out sets are refined downward.

## Monotone Functions Over Lattices

The **effects of program constructs on DFA information** are described by functions over a suitable lattice,

e. g. the function for basic block  $B_3$  on C-2.22:

$$f_3(\langle x_1 \ x_2 \ x_3 \ x_4 \ x_5 \ x_6 \ x_7 \ x_8 \rangle) = \langle x_1 \ x_2 \ 0 \ x_4 \ 1 \ x_6 \ 0 \ x_8 \rangle \in BV^8$$

### Gen-Kill pair encoded as function

$f: L \rightarrow L$  is a **monotone function** over the lattice L if  
 $\forall x, y \in L: x \subseteq y \Rightarrow f(x) \subseteq f(y)$

**Finite height** of the lattice and **monotonicity** of the functions guarantee **termination** of the algorithms.

**Fixed points** z of the function f, with  $f(z) = z$ , is a solution of the set of DFA equations.

**MOP: Meet over all paths** solution is desired, i. e. the „best“ with respect to L

**MFP: Maximum fixed point** is computed by algorithms, if functions are monotone

If the functions f are additionally **distributive**, then **MFP = MOP**.

$f: L \rightarrow L$  is a **distributive function** over the lattice L if  
 $\forall x, y \in L: f(x \cap y) = f(x) \cap f(y)$

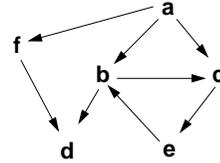
## Program Analysis: Call Graph (context-insensitive)

C-2.27

**Nodes:** defined functions

**Arc**  $g \rightarrow h$ : function  $g$  contains a call  $h()$ ,  
i. e. a call  $g()$  **may** cause the execution of a call  $h()$

```
void a () { ...b()...c()...f()... }
void b () { ...d()...c()... }
void c () { ...e()... }
void d () { ... }
void e () { ...v++;...b()... }
void f () { ...d()... }
```



**Analysis of structure:**  
b, c, e are recursive;  
a, d, f are non-recursive

### Propagation of properties:

assume a call  $e()$  may **modify a global variable**  $v$   
then calls  $a()$ ,  $b()$ ,  $c()$  may indirectly cause modification of  $v$

```
v = f(); cnt = 0; while(...){...b(); cnt += v;}
```

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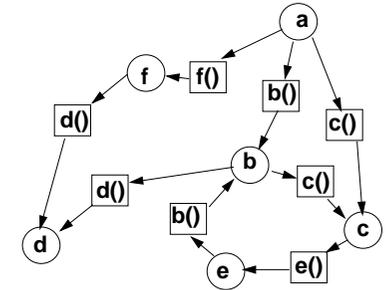
## Program Analysis: Call Graph (context-sensitive)

C-2.27a

**Nodes:** defined functions and calls (bipartite)

**Arc**  $g \rightarrow h$ : function  $g$  contains a call  $h()$ , i.e. a call  $g()$  **may** cause the execution of a call  $h()$   
or call  $g()$  leads to function  $g$

```
void a () { ...b()...c()...f()... }
void b () { ...d()...c()... }
void c () { ...e()... }
void d () { ... }
void e () { ...v++;...b()... }
void f () { ...d()... }
```



**Calls of the same function in different contexts** are distinguished by **different nodes**, e.g. the call of  $c$  in  $a$  and in  $b$ .

Analysis can be **more precise** in that aspect.

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## Calls Using Function Variables

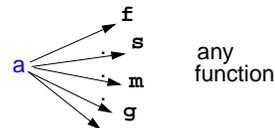
C-2.28

Contents of **function variables** is assigned at run-time.

Static analysis does not know (precisely) which function is called.

**Call graph** has to assume that **any function may be called**.

```
void a()
{ ...(*h)(0.3, 27)... }
```



**Analysis for a better approximation**  
of potential callees:

only those functions which

1. **fit to the type** of  $h$
2. **are assigned** somewhere in the program
3. can be derived from the **reaching definitions** at the call

```
void m (int j) { ... }
void g (float x, int i) { ... }
...k = m;... f(g); ...
void a()
{ void (*h)(float,int) = g;
  ...
  if(...) h = s;
  ...(*h)(0.3, 27)...
}
```

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## Analysis of Object-Oriented Programs

C-2.29

Aspects specific for object-oriented analysis:

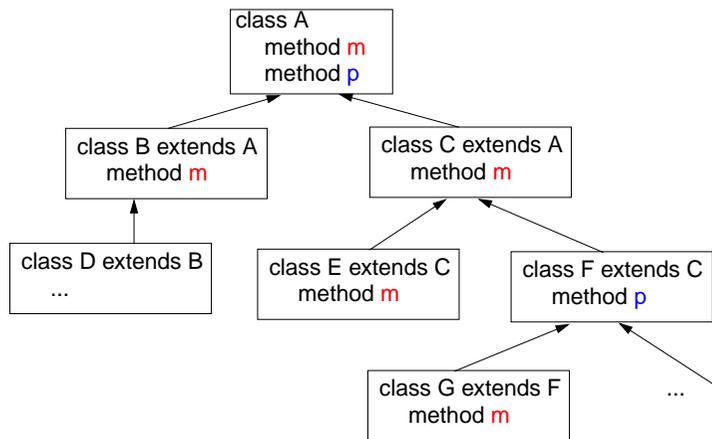
1. **hierarchy of classes and interfaces**  
specifies a complex **system of subtypes**
2. **hierarchy of classes and interfaces**  
specifies **inheritance and overriding** relation for methods
3. **dynamic method binding**  
for method calls  $v.m(\dots)$  the **callee is determined at run-time**  
good object-oriented style relies on that feature
4. **many small methods** are typical object-oriented style
5. **library use and reuse of modules**  
complete program contains many **unused classes and methods**

**Static predictions for dynamically bound method calls**  
are essential for most analyses

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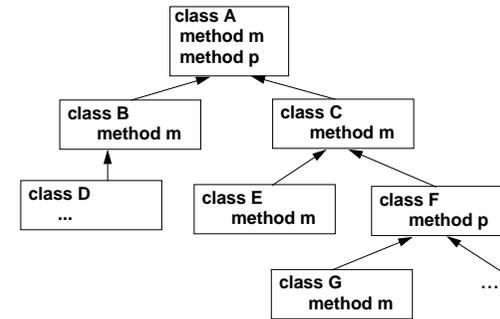
### Class Hierarchy Graph

**Node:** class or interface  
**Arc a -> b:** a is subclass of b or a implements interface b

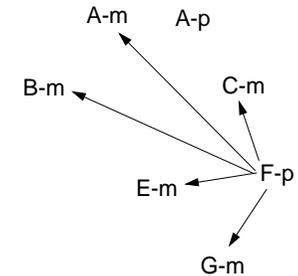


### Object-Oriented Call Graph

**Node:** implemented method, identified by class name, method name: X-a  
**Arc X-a -> Y-b:** method X-a contains a call v.b(...) that may be bound to Y-b



Call graph for F-p containing v.m(...)



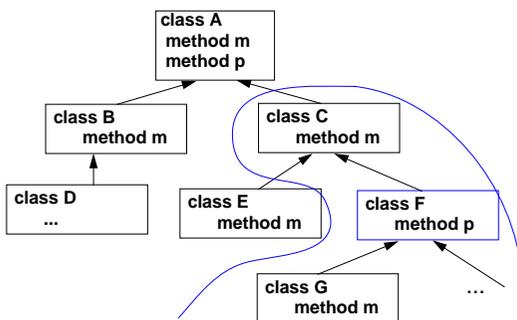
Call graph: **any method m** may be bound to that call in F-p (compare to function variables) analysis yields better approximations

### Call Graphs Constructed by Class Hierarchy Analysis (CHA)

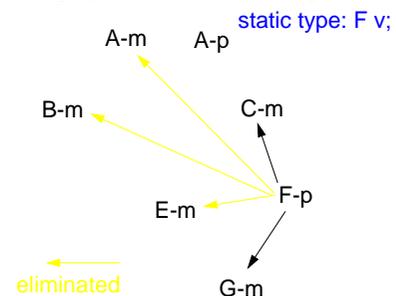
The call graph is reduced to a set of **reachable methods** using the **class hierarchy** and the **static type of the receiver** expression in the call:

If a method F-p is **reachable** and if it contains a **dynamically bound call v.m(...)** and **T is the static type of v**,

then every method **m** that is **inherited by T** or by a **subtype of T** is **also reachable**, and arcs go from F-p to them.



Call graph for F-p containing v.m(...)



### Refined Approximations for Call Graph Construction

**Class Hierarchy Analysis (CHA):** (see C-2.32)

**Rapid Type Analysis (RTA):**

As CHA, but only methods of those classes C are considered which are instantiated (`new C()`) in a reachable method.

**Reaching Type Analysis:**

Approximations of run-time types is propagated through a graph: nodes represent variables, arcs represent copy assignments.

**Declared Type Analysis:**

one node T represents all variables declared to have type T

**Variable Type Analysis:**

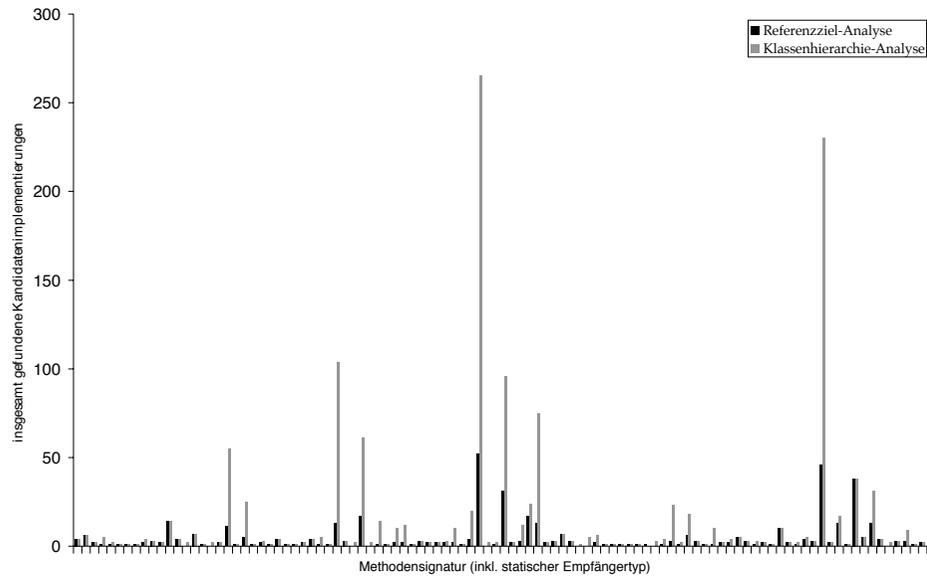
one node V represents a single variable

**Points-to Analysis:**

Information on object identities is propagated through the control-flow graph

## Results of Analysis of Dynamically Bound Calls

C-2.34



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## Modules of a Toolset for Program Analysis

C-2.35

analysis module	purpose	category
<b>ClassMemberVisibility</b>	examines visibility levels of declarations	visualization
<b>MethodSizeStatistics</b>	examines length of method implementations in bytecode operations and frequency of different bytecode operations	
<b>ExternalEntities</b>	histogram of references to program entities that reside outside a group of classes	
<b>InheritanceBoundary</b>	histogram of lowest superclass outside a group of classes	
<b>SimpleSetterGetter</b>	recognizes simple access methods with bytecode patterns	auxiliary analysis
<b>MethodInspector</b>	decomposes the raw bytecode array of a method implementation into a list of instruction objects	
<b>ControlFlow</b>	builds a control flow graph for method implementations	fundamental analyses
<b>Dominator</b>	constructs the dominator tree for a control flow graph	
<b>Loop</b>	uses the dominator tree to augment the control flow graph with loop and loop nesting information	
<b>InstrDefUse</b>	models operand accesses for each bytecode instruction	
<b>LocalDefUse</b>	builds intraprocedural def/use chains	
<b>LifeSpan</b>	analyzes liveness of local variables and stack locations	
<b>DefUseTypeInfo</b>	infers type information for operand accesses	analysis of incomplete programs
<b>Hierarchy</b>	class hierarchy analysis based on a horizontal slice of the hierarchy	
<b>PreciseCallGraph</b>	builds call graph based on inferred type information, copes with incomplete class hierarchy	
<b>ParamEscape</b>	transitively traces propagation of actual parameters in a method call (escape = leaves analyzed library)	
<b>ReadWriteFields</b>	transitive liveness and access analysis for instance fields accessed by a method call	

Table 0-1. Analysis plug-ins in our framework

[Michael Thies: *Combining Static Analysis of Java Libraries with Dynamic Optimization*, Dissertation, Shaker Verlag, April 2001]

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