3. Context-free Grammars and Syntactic Analysis

Input: token sequence

Tasks:

Parsing: construct a derivation according to the concrete syntax,

Tree construction: build a structure tree according to the **abstract syntax**,

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PLaC-3.2

Error handling: detection of an error, message, recovery

Result: abstract program tree

Compiler module parser:

deterministic stack automaton, augmented by actions for tree construction top-down parsers: leftmost derivation; tree construction top-down or bottom-up bottom-up parsers: rightmost derivation backwards; tree construction bottom-up

Abstract program tree (condensed derivation tree): represented by a

- data structure in memory for the translation phase to operate on,
- linear sequence of nodes on a file (costly in runtime),
- sequence of calls of functions of the translation phase.

3.1 Concrete and abstract syntax

concrete syntax

- context-free grammar
- defines the structure of source programs
- is unambiguous
- specifies derivation and parser

- parser actions specify the tree construction --->- tree construction
- some chain productions have only syntactic purpose
 - Expr ::= Fact have no action
- symbols are mapped {Expr,Fact} ->
- no node created

abstract syntax

- context-free grammar

- is usually ambiguous

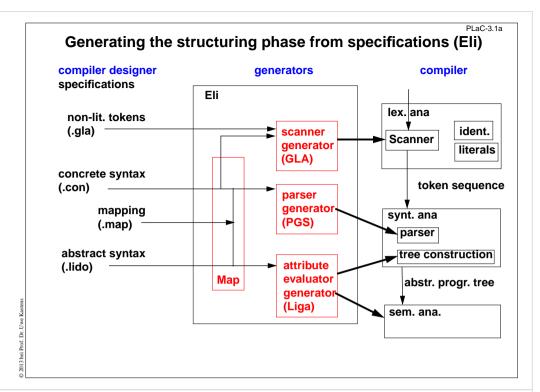
- defines abstract program trees

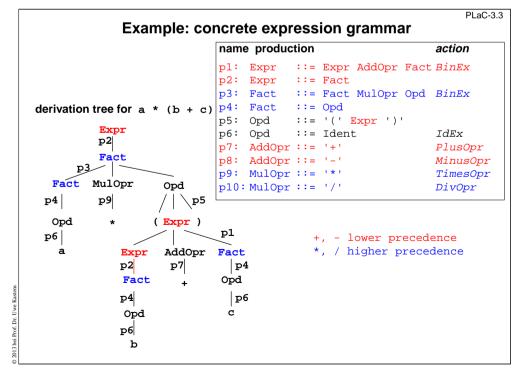
- translation phase is based on it

- are kept (tree node is created)

- to one abstract symbol Exp
- same action at structural equivalent productions: creates tree nodes
 - Expr ::= Expr AddOpr Fact &BinEx
 - Fact ::= Fact MulOpr Opd &BinEx
- semantically relevant chain productions, e.g.
 - ParameterDecl ::= Declaration
- terminal symbols identifiers, literals, keywords, special symbols

- only semantically relevant ones are kept identifiers, literals
- concrete syntax and symbol mapping specify
- abstract syntax (can be generated)





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Patterns for expression grammars

Expression grammars are systematically constructed, such that **structural properties** of expressions are defined:

operator.left-associative:

one level of precedence, binary one level of precedence, binary operator.right-associative:

A ::= A Opr B A ::= B

A ::= B Opr A A ::= B

one level of precedence. unary Operator, prefix:

one level of precedence. unary Operator, postfix:

A ::= Opr A A ::= B

A ::= A Opr A ::= B

Elementary operands: only derived from the nonterminal of the **highest precedence** level (be H here):

H ::= Ident

Expressions in parentheses: only derived from the nonterminal of the highest precedence level (assumed to be H here); contain the nonterminal of the lowest precedence level (be A here):

H ::= '(' A ')'

3.2 Design of concrete grammars

Objectives

The concrete grammar for parsing

- is parsable: fulfills the **grammar condition** of the chosen parser generator;
- specifies the intended language or a small super set of it;
- is provably related to the documented grammar;
- can be mapped to a suitable abstract grammar.

Example: abstract expression grammar

name production

```
BinEx:
           Exp
                  ::= Exp BinOpr Exp
IdEx:
           Exp
                  ::= Ident
PlusOpr:
          BinOpr ::= '+'
MinusOpr:
          BinOpr ::= '-'
TimesOpr:
          BinOpr ::= '*'
                                abstract program tree for a * (b + c)
DivOpr:
           BinOpr ::= '/'
                                      Exp
                              BinEx
                               Exp
                                     BinOpr
                                                                BinEx
                                      TimesOpr
                            IdEx
                                                       BinOpr
                                                               Exp
                                             IdEx
                                                   Plus0pr
                                                                  IdEx
                                                                 C
```

symbol classes: Exp = { Expr, Fact, Opd } BinOpr = { AddOpr, MulOpr }

Actions of the concrete syntax: productions of the abstract syntax to create tree nodes for no action at a concrete chain production: no tree node is created

A strategy for grammar development

1. **Examples**: Write at least one example for every intended language construct. Keep the examples for checking the grammar and the parser.

- 2. **Sub-grammars**: Decompose a non-trivial task into topics covered by sub-gammars, e.g. statements, declarations, expressions, over-all structure.
- 3. **Top-down**: Begin with the start symbol of the (sub-)grammar, and refine each nonterminal according to steps 4 - 7 until all nonterminals of the (sub-)grammar are refined.
- 4. Alternatives: Check whether the language construct represented by the current nonterminal, say Statement, shall occur in structurally different alternatives, e.g. whilestatement, if-statement, assignment. Either introduce chain productions, like Statement ::= WhileStatement | IfStatement | Assignment. or apply steps 5 - 7 for each alternative separately.
- 5. Consists of: For each (alternative of a) nonterminal representing a language construct explain its immediate structure in words, e.g. "A Block is a declaration sequence followed by a statement sequence, both enclosed in curly braces." Refine only one structural level. Translate the description into a production. If a sub-structure is not yet specified introduce a new nonterminal with a speaking name for it, e.g.

Block ::= '{' DeclarationSeq StatementSeq '}'.

- 6. **Natural structure**: Make sure that step 5 yields a "natural" structure, which supports notions used for static or dynamic semantics, e.g. a range for valid bindings.
- 7. **Useful patterns**: In step 5 apply patterns for description of sequences, expressions, etc.

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Grammar design for an existing language

- Take the grammar of the language specification literally.
- Only **conservative modifications** for parsability or for mapping to abstract syntax.
- · Describe all modifications.

(see ANSI C Specification in the Eli system description http://www.uni-paderborn.de/fachbereich/AG/agkastens/eli/examples/eli cE.html)

- **Java** language specification (1996): Specification grammar is not LALR(1). 5 problems are described and how to solve them.
- Ada language specification (1983): Specification grammar is LALR(1) - requirement of the language competition
- ANSI C, C++: several ambiguities and LALR(1) conflicts, e.g. "dangling else". "typedef problem": A (*B);

is a declaration of variable B, if A is a type name, otherwise it is a call of function A

Syntactic restrictions versus semantic conditions

Express a restriction syntactically only if it can be completely covered with reasonable complexity:

- Restriction can not be decided syntactically: e.g. type check in expressions: BoolExpression ::= IntExpression '<' IntExpression
- Restriction can not always be decided syntactically: e. g. disallow array type to be used as function result Type ::= ArrayType | NonArrayType | Identifier ResultType ::= NonArrayType If a type identifier may specify an array type, a semantic condition is needed, anyhow
- Syntactic restriction is unreasonably complex: e. g. distinction of compile-time expressions from ordinary expressions requires duplication of the expression syntax.

Grammar design together with language design

Read grammars before writing a new grammar.

Apply grammar patterns systematically (cf. GPS-2.5, GPS-2.8)

- · repetitions
- · optional constructs
- · precedence, associativity of operators

Syntactic structure should reflect semantic structure:

E. g. a range in the sense of scope rules should be represented by a single subtree of the derivation tree (of the abstract tree).

Violated in Pascal:

```
functionDeclaration ::= functionHeading block
functionHeading ::= 'function' identifier formalParameters ':' resultType ':'
```

formalParameters together with block form a range, but identifier does not belong to it

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PLaC-3.4c

Eliminate ambiguities

unite syntactic constructs - distinguish them semantically

Examples:

Java: ClassOrInterfaceType ::= ClassType | InterfaceType

InterfaceType ::= TypeName ClassType ::= TypeName

replace first production by

ClassOrInterfaceType ::= TypeName

semantic analysis distinguishes between class type and interface type

· Pascal: factor ::= variable | ... | functionDesignator

> variable ::= entireVariable | ... entireVariable ::= variableIdentifier

::= identifier variableIdentifier functionDesignator ::= functionIdentifier

functionIdentifer '(' actualParameters ')

functionIdentifier ::= identifier

eliminate marked (*) alternative

semantic analysis checks whether (**) is a function identifier

PLaC-3.4f

PLaC-3.6

Unbounded lookahead

The decision for a reduction is determined by a distinguishing token that may be arbitrarily far to the right:

Example, **forward** declarations as could have been defined in Pascal:

functionDeclaration ::=

'function' forwardIdent formalParameters ':' resultType ';' 'forward'

I 'function' functionIdent formalParameters ':' resultType ':' block

The distinction between forwardIdent and functionIdent would require to see the forward or the begin token.

Replace forwardIdent and functionIdent by the same nonterminal; distinguish semantically.

Grammar conditions for recursive descent

Definition: A context-free grammar is **strong LL(1)**, if for any pair of **productions** that have the same symbol on their left-hand sides, A ::= u and A ::= v, the decision sets are disjoint:

DecisionSet

DecisionSet (A ::= u) \cap DecisionSet (A ::= v) = \emptyset

with

DecisionSet (A ::= u) := if nullable (u) then First (u) ∪ Follow (A) else First (u)

nullable (u) holds iff a derivation $u \Rightarrow^* \varepsilon$ exists

First (u) := { $t \in T \mid v \in V^*$ exists and a derivation $u \Rightarrow^* t v$ }

Follow (A):= { $t \in T \mid u,v \in V^*$ exist, $A \in N$ and a derivation $S \Rightarrow^* u \land t \lor Y$

Example:

production

p1:	Prog	::= Block #	begin
p2:	Block	::= begin Decls Stmts end	begin
p3:	Decls	::= Decl ; Decls	new
p4:	Decls	::=	Ident begin
p5:	Decl	::= new Ident	new
p6:	Stmts	::= Stmts ; Stmt	begin Ident
p7:	Stmts	::= Stmt	begin Ident
p8:	Stmt	::= Block	begin
p9:	Stmt	::= Ident := Ident	Ident
-			

non-terminal

Х	First (X)	Follow (X)
Prog	begin	
Block	begin	#;end
Decls	new	Ident begin
Decl	new	;
Stmts	begin Ident	; end
Stmt	begin Ident	; end

3.3 Recursive descent parser

top-down (construction of the derivation tree), predictive method

Systematic transformation of a context-free grammar into a set of functions:

```
non-terminal symbol X
alternative productions for X
decision set of production pi
non-terminal occurrence X ::= ... Y ...
```

```
decision for branch pi
                                             function call Y()
terminal occurrence X ::= ... t ...
                                             accept a token t and read the next token
```

function X

branches in the function body

```
Productions for Stmt:
p1: Stmt ::=
     Variable ':=' Expr
p2: Stmt ::=
      'while' Expr 'do' Stmt
```

```
void Stmt ()
  switch (CurrSymbol)
     case decision set for p1:
        Variable();
        accept(assignSym);
        Expr();
        break;
     case decision set for p2:
        accept(whileSym);
        Expr();
        accept(doSym);
        Stmt();
        break;
  default: Fehlerbehandlung();
```

Computation rules for nullable, First, and Follow

Definitions:

```
nullable(u) holds iff a derivation u \Rightarrow^* \epsilon exists
```

First(u):= { $t \in T \mid v \in V^*$ exists and a derivation $u \Rightarrow^* t v$ }

Follow(A):= { $t \in T \mid u,v \in V^*$ exist, $A \in N$ and a derivation $S \Rightarrow^* u \land v$ such that $t \in First(v)$ }

with G = (T, N, P, S); $V = T \cup N$; $t \in T$; $A \in N$; $u,v \in V^*$

Computation rules:

```
nullable(\varepsilon) = true; nullable(t) = false; nullable(uv) = nullable(u) \land nullable(v);
nullable(A) = true iff \exists A::=u \in P \land nullable(u)
First(\varepsilon) = \emptyset: First(t) = \{t\}:
```

 $First(uv) = if nullable(u) then <math>First(u) \cup First(v)$ else First(u)

First(A) = First(u_1) $\cup ... \cup$ First(u_n) for all A::= $u_i \in P$

Follow(A):

if A=S then $\# \in Follow(A)$

if $Y:=uAv \in P$ then $First(v) \subseteq Follow(A)$ and if nullable(v) then $Follow(Y) \subseteq Follow(A)$

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Grammar transformations for LL(1)

Consequences of strong LL(1) condition: A strong LL(1) grammar can not have

Simple grammar transformations that keep the defined language invariant:

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PLaC-3.8

 alternative productions that begin with the same symbols: left-factorization:

non-LL(1) productions transformed

A ::= v u A ::= v X A ::= v w X ::= u X ::= w

 productions that are directly or indirectly left-recursive: elimination of direct recursion:

A ::= A u A ::= v X A ::= v X ::= u X X ::=

special case empty v:

A ::= A u A ::= u A A ::= A ::=

top-down, predictive leftmost derivation

input

Comparison: top-down vs. bottom-up

Information a stack automaton has when it decides to apply production A := x := x

contents of the stack

A

V

accepted

direction of tree construction u

A bottom-up parser has seen more of the input when it decides to apply a production.

Consequence: **bottom-up** parsers and their grammar classes are more **powerful**.

LL(1) extension for EBNF constructs

EBNF constructs can avoid violation of strong LL(1) condition:

EBNF construct: Option [u] Repetition (u)*

Production: A := v [u] w $A := v (u)^* w$

additional

LL(1)-condition: if nullable(w)

then $First(u) \cap (First(w) \cup Follow(A)) = \emptyset$

PLaC-3.7a

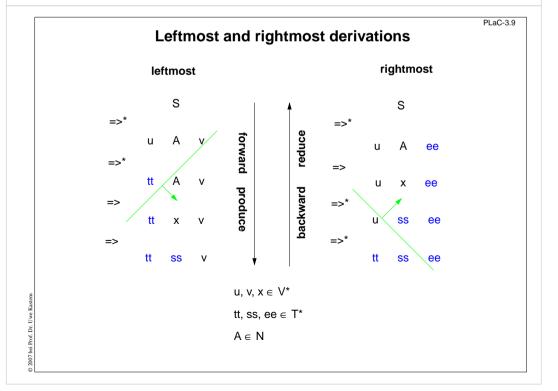
else First(u) \cap First(w) = \emptyset

in recursive descent parser:

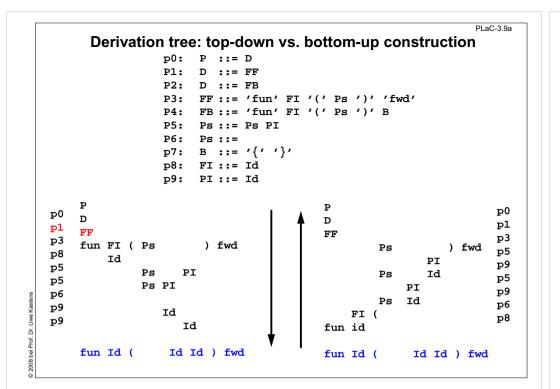
v if (CurrToken in First(u)) { u } while (CurrToken in First(u)) { u }

W

Repetition (u)+ left as exercise



. . .



3.4 LR parsing

LR(k) grammars introduced 1965 by Donald Knuth; non-practical until subclasses were defined.

LR parsers construct the derivation tree **bottom-up**, a right-derivation backwards.

LR(k) grammar condition can not be checked directly, but a context-free grammar is LR(k), iff the (canonical) **LR(k)** automaton is deterministic.

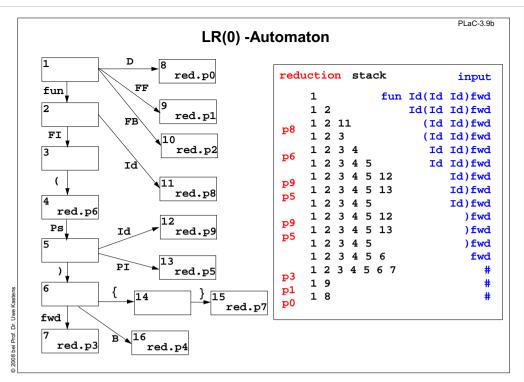
We consider only 1 token lookahead: LR(1).

Comparison of LL and LR states:

The stacks of LR(k) and LL(k) automata contain states.

The construction of LR and LL states is based on the notion of items (see next slide).

Each **state** of an automaton represents **LL: one item LR: a set of items** An LL item corresponds to a position in a case branch of a recursive function.



LR(1) items

An **item** represents the progress of analysis with respect to one production:

$$[A := u \cdot v \quad R]$$

■ marks the position of analysis: accepted and reduced ■ to be accepted

R expected right context:

a set of terminals which may follow in the input when the complete production is accepted.

(general k>1: R contains sequences of terminals not longer than k)

Items can distinguish different right contexts: [A ::= u . v R] and [A ::= u . v R']

Reduce item:

characterizes a reduction using this production if the next input token is in R.

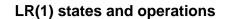
The automaton uses R only for the decision on reductions!

A state of an LR automaton represents a set of items

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A state of an LR automaton represents a set of items

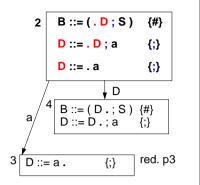
Each item represents a way in which analysis may proceed from that state.

A shift transition is made under a token read from input or a non-terminal symbol

obtained from a preceding reduction.

The state is pushed.

A **reduction** is made according to a reduce item. n states are popped for a production of length n.



PLaC-3.12

PLaC-3.14

Operations: shift read and push the next state on the stack

reduce reduce with a certain production, pop n states from the stack

error recognized, report it, recover error

stop input accepted



Algorithm: 1. Create the start state.

2. For each created state compute the transitive closure of its items.

3. Create transitions and successor states as long as new ones can be created.

D ::= D .; a

Transitive closure is to be applied to each state q:

Consider all items in g with the analysis position

before a non-terminal B:

 $[A_1 ::= u_1 . B v_1 R_1] ... [A_n ::= u_n . B v_n R_n],$

then for each production B ::= w

 $[B ::= . w \quad First (v_1 R_1) \cup ... \cup First (v_n R_n)]$

has to be added to state q.

Start state:

Closure of [S::= .u {#}]

S ::= u is the unique start production,

is an (artificial) end symbol (eof)

Successor states:

For each **symbol x** (terminal or non-terminal). which occurs in some items after the analysis position, a transition is created to a successor state. 4

That contains corresponding items

with the analysis position advanced behind the x occurrence.

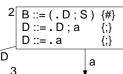




before 2 B ::= (. D : S) {#}

D ::= . D ; a {:}**U**{ D := .a**{:}∪{:**

 $B ::= .(D;S) {\#}$



D := a. {;}

Example for a LR(1) automaton

Grammar:

p1 B ::= (D;S)

p2 D ::= D : a

p3 D ::= a

p4 S ::= b : S

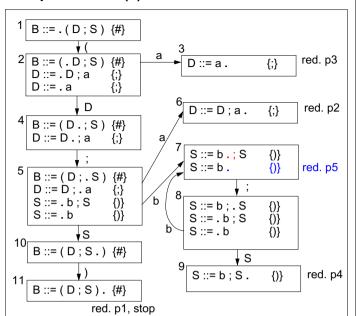
p5 S ::= b

In state 7 a decision is required on next input:

- · if: then shift
- if) then reduce p5

In states 3, 6, 9, 11 a decision is not required:

· reduce on any input



PLaC-3.13

Operations of LR(1) automata

shift x (terminal or non-terminal): from current state q under x into the successor state q'. push q'

reduce p:

apply production p B := u, pop as many states, as there are symbols in u, from the

new current state make a shift with B

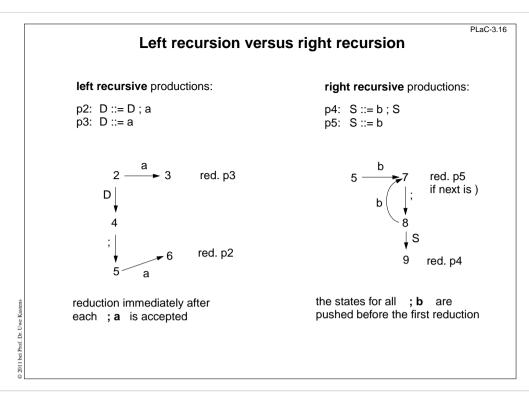
error:

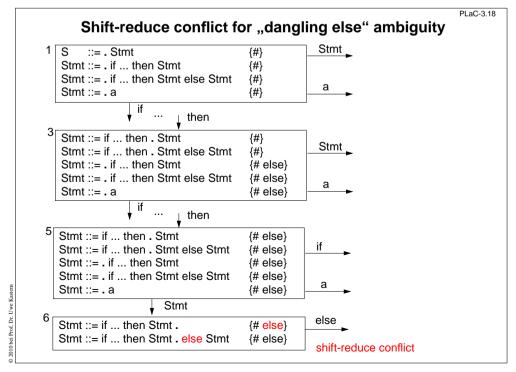
the current state has no transition under the next input token. issue a message and recover

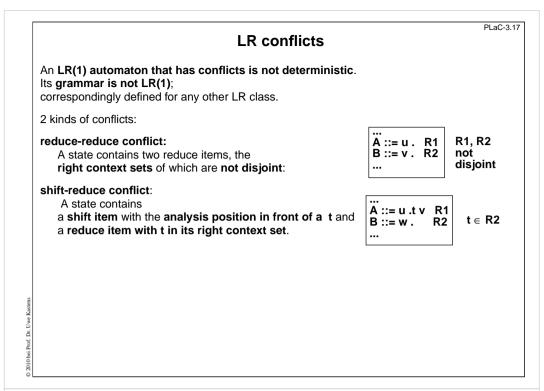
stop:

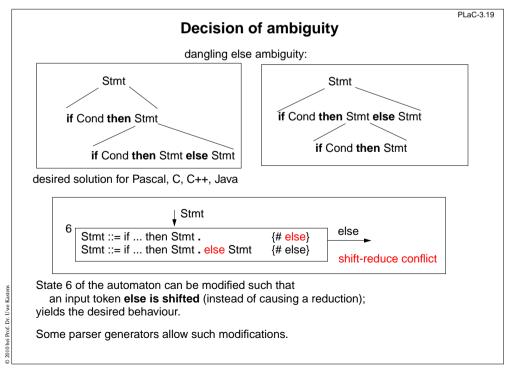
reduce start production, see # in the input

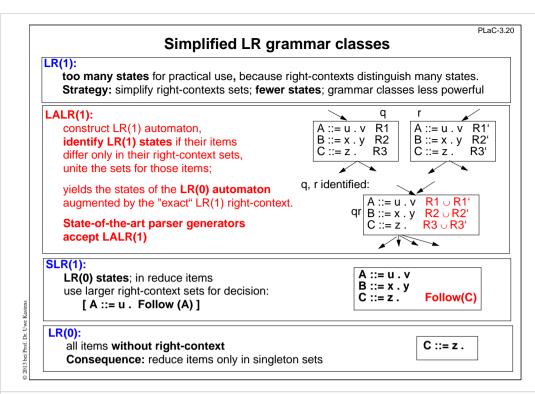
Example: input reduction stack (a;a;b;b)#12 a:a:b:b)# 123 :a:b:b)# p3 12 :a:b:b)# 124 ;a;b;b)# 1245 a:b:b)# 12456 :b:b)# р2 :b;b)# 12 124 :b:b)# 1245 b:b)# 12457 ;b)# 124578 b)# 1245787) # р5 124578)# 1245789)# р4 1245)# 124510)# 1 2 3 5 10 11 p1

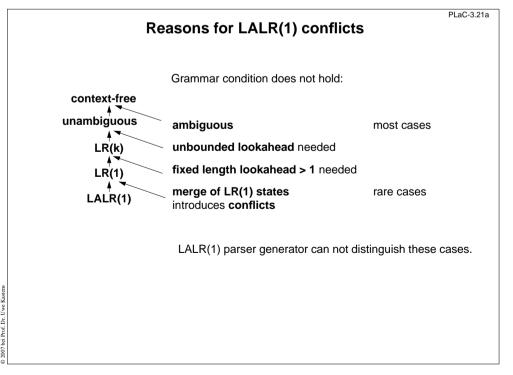


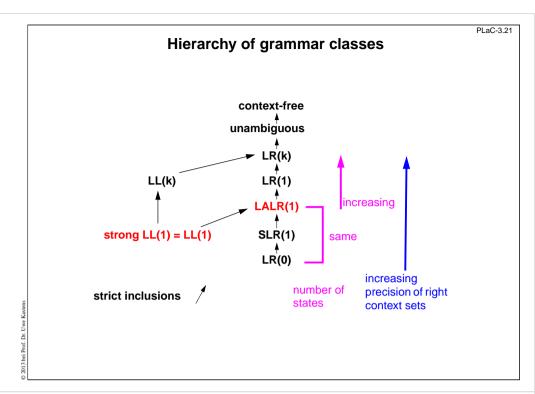












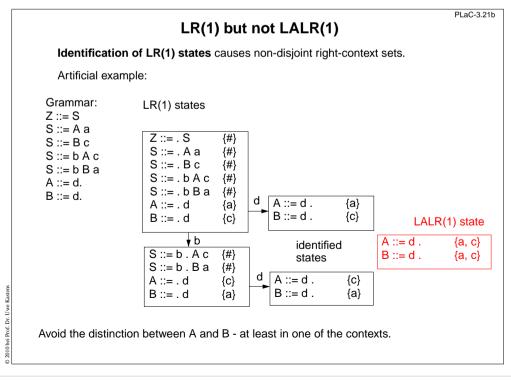
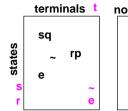




Table driven implementation of LR automata

LR parser tables





sq: shift into state q

rp: reduce production p

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PLaC-3.24

e: error

~: not reachable don't care

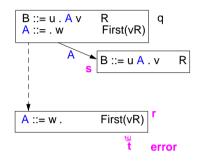
nonterminal table

 has no reduce entries and no error entries (only shift and don't-care entries) reason:

a reduction to A reaches a state from where a shift under A exists (by construction)

unreachable entries in terminal table:

if t is erroneus input in state r, then state s will not be reached with input t



Parser generators

PGS Univ. Karlsruhe; in Eli LALR(1), table-driven

Cola Univ. Paderborn; in Eli LALR(1), optional: table-driven or directly programmed

LalrUniv. / GMD KarlsruheLALR(1), table-drivenYaccUnix toolLALR(1), table-drivenBisonGnuLALR(1), table-drivenLIgenAmsterdam Compiler Kit LL(1), recursive descentDeerUniv. Colorado, BouderLL(1), recursive descent

Form of grammar specification:

EBNF: Cola, PGS, Lalr; BNF: Yacc, Bison

Error recovery:

simulated continuation, automatically generated: Cola, PGS, Lalr error productions, hand-specified: Yacc, Bison

Actions:

statements in the implementation language

at the end of productions:

Anywhere in productions:

Yacc, Bison
Cola, PGS, Lalr

Conflict resolution:

modification of states (reduce if ...)

order of productions:

rules for precedence and associativity:

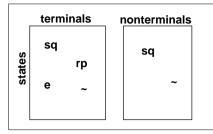
Yacc, Bison

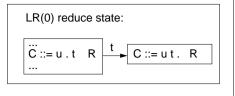
Yacc, Bison

Implementation languages:

C: Cola, Yacc, Bison C, Pascal, Modula-2, Ada: PGS, Lalr

Implementation of LR automata





Compress tables:

- merge rows or columns that differ only in irrelevant entries; method: graph coloring
- extract a separate error matrix (bit matrix); increases the chances for merging
- normalize the values of rows or columns: yields smaller domain: supports merging
- eliminate LR(0) reduce states; new operation in predecessor state: shift-reduce eliminates about 30% of the states in practical cases

About 10-20% of the original table sizes can be achieved!

Directly programmed LR-automata are possible - but usually too large.

PLaC-3.25

3.5 Syntax Error Handling General criteria

- recognize error as early as possible LL and LR can do that: no transitions after error position
- report the symptom in terms of the source text rather than in terms of the state of the parser
- continue parsing short after the error position analyze as much as possible
- · avoid avalanche errors
- build a tree that has a correct structure later phases must not break
- do not backtrack, do not undo actions, not possible for semantic actions
- · no runtime penalty for correct programs

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Error position

Error recovery: Means that are taken by the parser after recognition of a syntactic error in order to continue parsing

Correct prefix: The token sequence $w \in T^*$ is a correct prefix in the language L(G), if there is an $u \in T^*$ such that $\mathbf{w} \ \mathbf{u} \in L(G)$; i. e. w can be extended to a sentence in L(G).

Error position: t is the (first) error position in the **input w t x**, where $t \in T$ and w, $x \in T^*$, if **w is a correct prefix** in L(G) and **w t is not a correct prefix**.

Example: int compute (int i) { a = i * / c; return i;}

LL and LR parsers recognize an error at the error position; they can not accept t in the current state.

PLaC-3.28

PLaC-3.26

Recovery method: simulated continuation

Problem: Determine a continuation point close to the error position and reach it. **Idea**: Use parse stack to determine a set D of tokens as potential continuation points.

Steps of the method:

- 1. Save the contents of the parse stack when an error is recognized.
- Compute a set D ⊆ T of tokens that may be used as continuation point (anchor set)
 Let a modified parser run to completion:
 Instead of reading a token from input it is inserted into D; (modification given below)
- 3. Find a continuation point d: Skip input tokens until a token of D is found.
- 4. Reach the continuation point d:

Restore the saved parser stack as the current stack. Perform dedicated transitions until d is acceptable. Instead of reading tokens (conceptually) insert tokens. Thus a correct prefix is constructed.

5. Continue normal parsing.

Augment parser construction for steps 2 and 4:

For each parser state select a transition and its token, such that the parser empties its stack and terminates as fast as possible.

This selection can be generated automatically.

The quality of the recovery can be improved by deletion/insertion of elements in D.

error contin. point (5) (3) (4)

Error recovery

Continuation point:

A token d at or behind the error position t such that parsing of the input continues at d.

Error repair

with respect to a consistent derivation - regardless the intension of the programmer!

Let the input be w t x with the error position at t and let w t x = w y d z, then the recovery (conceptually) deletes y and inserts v, such that w v d is a correct prefix in L(G), with $d \in T$ and w, y, v, $z \in T^*$.

error position wtx = wydz wydz wydz continuation

PLaC-3.27

Examples:

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