

## 9. Synchronous message passing

Processes communicate and synchronize directly, space is provided for **only one message** (instead of a channel).

### Operations:

- **send (b)**: **blocks** until the partner process is ready to receive the message
- **receive (v)**: blocks until the partner process is ready to send a message.

When both sender and receiver processes are ready for the communication, the message is transferred, like an assignment  $v := b$ ;

A send-receive-pair is both **data transfer and synchronization point**

**Origin:** Communicating Sequential Processes (CSP) [C.A.R. Hoare, CACM 21, 8, 1978]



# Notations for synchronous message passing

**Notation** in CSP und Occam:

$p$ : ...  $q$  !  $ex$  ... **send** the value of the expression  $ex$  to process  $q$

$q$ : ...  $p$  ?  $v$  ... **receive** a value from process  $p$  and assign it to variable  $v$

**multiple ports** and **composed messages** may be used:

$p$ : ...  $q$  ! Port1 ( $a_1, \dots, a_n$ ) ...

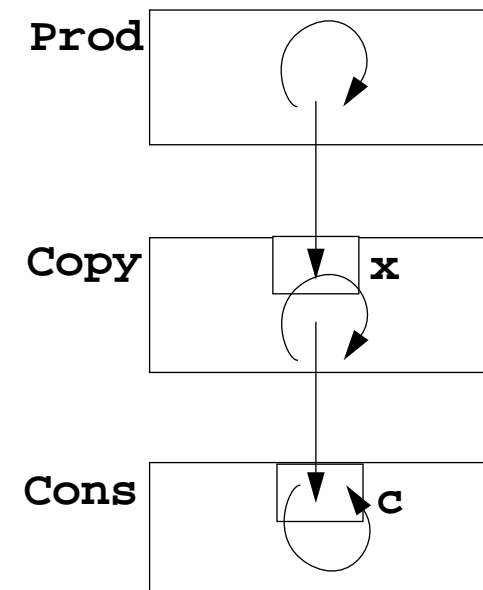
$q$ : ...  $p$  ? Port1 ( $v_1, \dots, v_n$ ) ...

**Example:** copy data from a producer to a consumer:

```
Prod:  var p: int;
       do true -> p :=...; Copy ! p od
```

```
Copy:  var x: int;
       do true -> Prod ? x; Cons ! x od
```

```
Cons:  var c: int;
       do true -> Copy ? c; ... od
```



## Selective wait

### Guarded command: (invented by E. W. Dijkstra)

a branch may be taken, if a **condition is true** and a **communication** is enabled (**guard**)

```
if Condition1; p ! x -> Statement1
[] Condition2; q ? y -> Statement2
[] Condition3; r ? z -> Statement3
fi
```

### A communication statement in a guard yields

**true**, if the partner process is ready to communicate

**false**, if the partner process is terminated,

**open** otherwise (process is not ready, not terminated)

### Execution of a guarded command depends on the guards:

- If **some guards are true**, one of them is chosen, the communication and the branch statement are executed.
- If **all guards are false** the guarded command is completed without executing anything.
- **Otherwise** the process is blocked until one of the above cases holds.

### Notation of an indexed selection:

```
if (i: 1..n) Condition; p[i] ? v -> Statements fi
```

# Guarded loops

A **guarded loop** repeats the execution of its guarded command **until all guards yield false**:

```
do
    Condition1; p ! x-> Statement1
[] Condition2; r ? z-> Statement2
od
```

**Example:** bounded buffer:

```
process Buffer
```

```
do
```

```
    cnt < N; Prod ? buf[rear] -> cnt++; rear := rear % N + 1;
```

```
    [] cnt > 0; Cons ! buf[front] -> cnt--; front := front % N + 1;
```

```
od
```

```
end
```

```
process Prod
```

```
    var p:=0: int;
```

```
    do p<42; Buffer ! p -> p:=p+1;
```

```
    od
```

```
end
```

```
process Cons
```

```
    var c: int;
```

```
    do Buffer ? c -> print c;
```

```
    od
```

```
end
```

# Prefix sums computed with synchronous messages

Synchronous communication provides both **transfer of data and synchronization**.

**Necessary synchronization only** (cf. synchronous barriers, PPJ-48)

```

const N := 6; var a [0:N-1] : int;

process Worker (i := 0 to N-1)           a process for each element
  var d := 1, sum, new: int

  sum := a[i];

  {Invariant SUM: sum = a[i-d+1] + ... + a[i]}

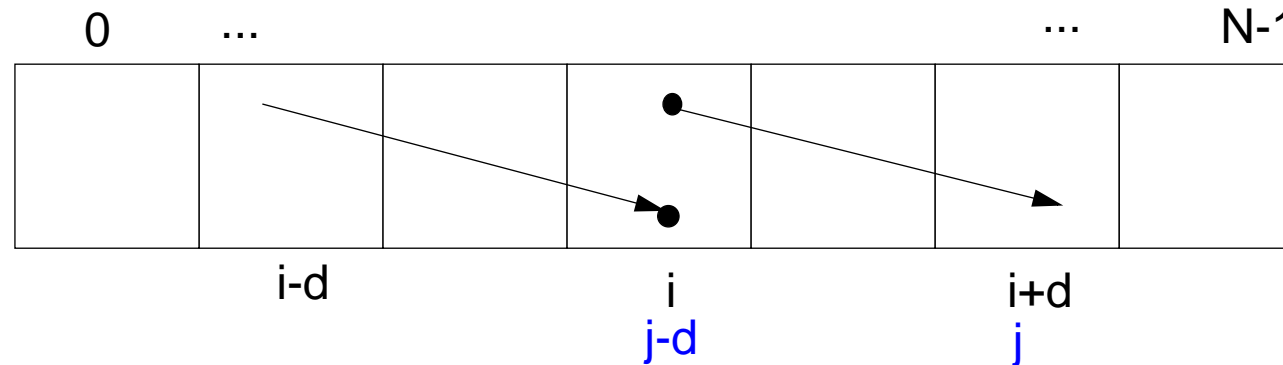
  do d < N-1 ->
    if (i+d) < N -> Worker(i+d) ! sum fi   shift old value to the right
    if (i-d) >= 0 -> Worker(i-d) ? new; sum := sum + new fi
                                           get new value from the left
    d := 2*d                               double the distance
  od
end                                         {SUM and d >= N-1}

```

Why can deadlocks not occur?

# No deadlocks in synchronous prefix sums

synchronization pattern



- **! and ? operations occur always in pairs:**

if  $i+d < N$  and  $i \geq 0$  process  $i$  executes `Worker(i+d)!sum`  
 let  $j = i+d$ , i.e.  $j-d = i \geq 0$ , hence process  $j$  executes `Worker(j-d)?new`

- There is always a process that does **not send but receives**:

Choose  $i$  such that  $i < N$  and  $i+d \geq N$ , then process  $i$  only receives:  
 Prove by induction.

- **As no process first receives and then sends, there is no deadlock**

# Client/Server scheme with synchronous messages

## Technique:

for each **kind of operation** that the server offers, a communication via **2 ports**:

- `oprReq` for transfer of the parameters
- `oprRepl` for transfer of the reply

## Scheme of the **client processes**:

```

process Client (I := 1 to N)
  ...
  Server ! oprReq (myArgs)
  Server ? oprRepl (myRes)
  ...
end

```

## Scheme of the **server process**:

```

process Server ()
  ...
  do (c: 1..N) ConditionOpr1; Client[c] ? oprReq(oprArgs)
    -> process the request ...
    Client[c] ! oprRepl(oprResults)
  [ ] correspondingly for other operations ...
  od
end

```

# Synchronous Client/Server: variants and comparison

Synchronous servers have the  
**same characteristics as asynchronous servers,**  
i. e. active monitors (PPJ-70).

## Variants of synchronous servers:

1. Extension to **multiple instances of servers:**  
use **guarded command loops** to check  
whether a communication is enabled
2. If an operation can **not be executed immediately,**  
it has to be delayed, and  
its arguments have to be stored in a pending queue
3. The **reply port can be omitted** if
  - there is no result returned, and
  - the request is never delayed
4. Special case: resource allocation with request and release.
5. **Conversation sequences** are executed in the part „process the request“.  
**Conversation protocols** are implemented by a  
sequence of send, receive, and guarded commands.



# Synchronous messages in Occam

## Occam:

- concurrent programming language, based on **CSP**
- initially developed in 1983 at INMOS Ltd. as native language for **INMOS Transputer** systems
- a program is a nested structure of parallel processes (**PAR**), sequential code blocks (**SEQ**), guarded commands (**ALT**), synchronous send (!) and receive (?) operations, procedures, imperative statement forms;
- communication via **1:1 channels**
- fundamental data types, arrays, records
- extended 2006 to **Occam-pi**, University of Kent, GB  
**pi-calculus** (Milner et. al, 1999):  
 formal process calculus where names of channels can be communicated via channels  
 Kent Retargetable occam Compiler (**KRoC**)  
 (open source)

```

CHAN OF INT chn:
PAR
  SEQ
    INT a:
    a := 42
    chn ! a

  SEQ
    INT b:
    chn ? b
    b := b + 1
  
```

# Bounded Buffer in Occam

```
CHAN OF Data in, out:
```

```
  PAR
```

```
    SEQ -- process buffer
```

```
      Queue (k) buf:
```

```
      Data d:
```

```
      WHILE TRUE
```

```
        ALT
```

```
          in ? d & length(buf) < k
```

```
            enqueue(buf, d)
```

```
          out ! front(buf) & length(buf) > 0
```

```
            ! not allowed in a guard
```

```
            dequeue(buf)
```

```
SEQ
```

```
  -- only one producer process
```

```
Data d:
```

```
WHILE TRUE
```

```
  SEQ
```

```
    d = produce ()
```

```
    in ! d
```

```
SEQ
```

```
  -- only one consumer process
```

```
Data d:
```

```
WHILE TRUE
```

```
  SEQ
```

```
    out ? d
```

```
    consume (d)
```

# Synchronous rendezvous in Ada

## Ada:

- **general purpose** programming language dedicated for **embedded systems**
- 1979: Jean Ichbiah at CII-Honeywell-Bull (Paris) wins a **competition** of language proposals initiated by the **US DoD**
- **Ada 83 reference manual**
- **Ada 95 ISO Standard**, including oo constructs
- **Ada 2005**, extensions
- **concurrency notions:**  
processes (**task**, **task type**), shared data, synchronous communication (**rendezvous**), entry operations pass data in both directions, guarded commands (**select**, **accept**)

```
task type Producer;  
  
task body Producer is  
  d: Data;  
begin  
  loop  
    d := produce ();  
    Buffer.Put (d);  
  end loop;  
end Producer;  
  
task type Consumer;  
  
task body Consumer is  
  d: Data;  
begin  
  loop  
    Buffer.Get (d);  
    consume (d);  
  end loop;  
end Consumer;
```

# Ada: Synchronous rendezvous

```

task type Buffer is      -- interface
    entry Put (d: in Data); -- input port
    entry Get (d: out Data); -- output port
end Buffer;

task body Buffer is
    buf: Queue (k);
    d: Data;
begin
    loop
        select          -- guarded command
            when length(buf) < k =>
                accept Put (d: in Data) do
                    enqueue(buf, d);
                end Put;
            or
            when length(buf) > 0 =>
                accept Get (d: out Data) do
                    d := front(buf);
                end Get;
                dequeue(buf);
            end select;
        end loop;
    end Buffer;

```

```

task type Producer;

task body Producer is
    d: Data;
begin
    loop
        d := produce ();
        Buffer.Put (d);
    end loop;
end Producer;

task type Consumer;

task body Consumer is
    d: Data;
begin
    loop
        Buffer.Get (d);
        consume (d);
    end loop;
end Consumer;

```